

# Intrinsically Live Structure and Deadlock Control in Generalized Petri Nets Modeling Flexible Manufacturing Systems

Ding Liu<sup>1</sup>,

joint work with Kamel Barkaoui<sup>2</sup>, & MengChu Zhou<sup>3</sup>

<sup>1</sup> Xidian University, China

<sup>2</sup> Cedric, Cnam, France

<sup>3</sup> NJIT, USA

January 18, 2013

1 Background

2 Motivation

3 Main Work

4 Concluding Remarks

## Petri nets

A Petri net is a graphical tool for the description and analysis of concurrent process...<sup>[1]</sup>

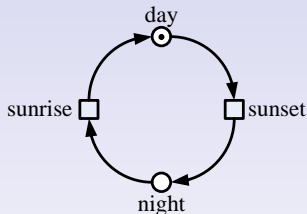
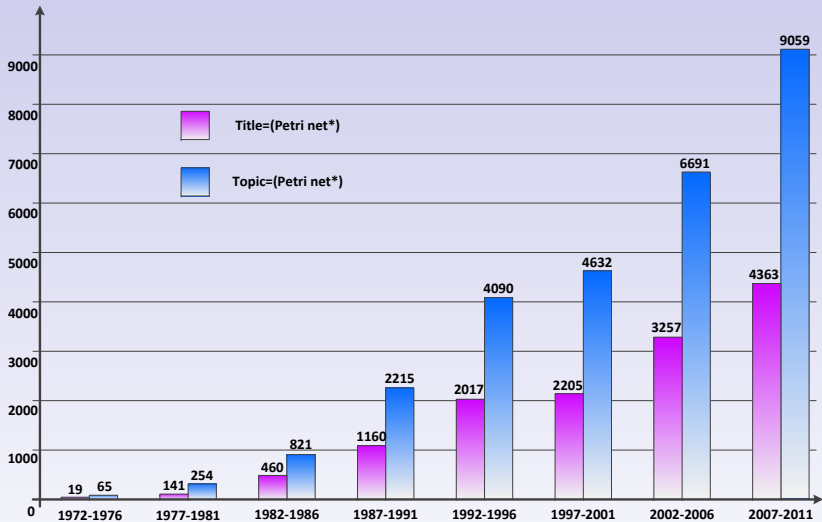


Figure: The circle of life.

[1] C. A. Petri and W. Reisig, "Petri net," *Scholarpedia*, 3(4):6477, 2008.

[2] M. Silva, "50 years after the PhD thesis of Carl Adam Petri: A perspective," in *Preprints of the 11th International Workshop on Discrete Event Systems*, pp. 13–20, Guadalajara, Mexico, October 3rd - 5th, 2012.



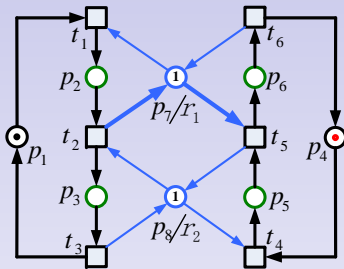
**Figure:** A histogram of the numbers of SCI papers on Petri nets in the past 40 years.



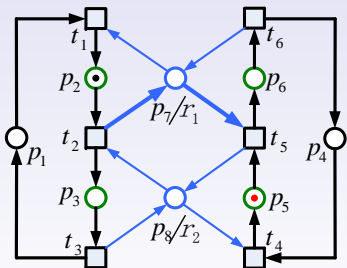
Figure: A deadlock between two oxen. (Picture from Internet)



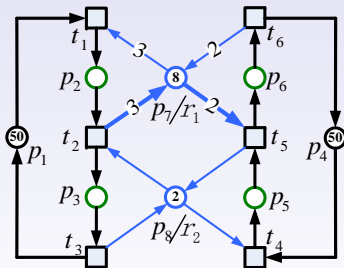
(a)



(b)



(c)



(d)

Figure: Oxen and Petri nets.

## What we face:

- Siphon structures do not consider weight information;
- How can we extend siphon-based methods to control generalized Petri nets;
- Controlled generalized Petri nets with Siphon-based methods limit strongly the system behavior.

## What we want:

- A new kind of structural objects tied with deadlock-freedom and liveness;
- A new policy for deadlock-control/liveness-enforcement.

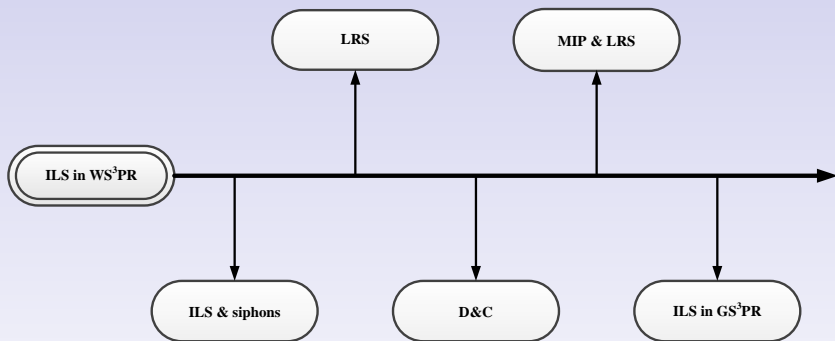


Figure: All work.



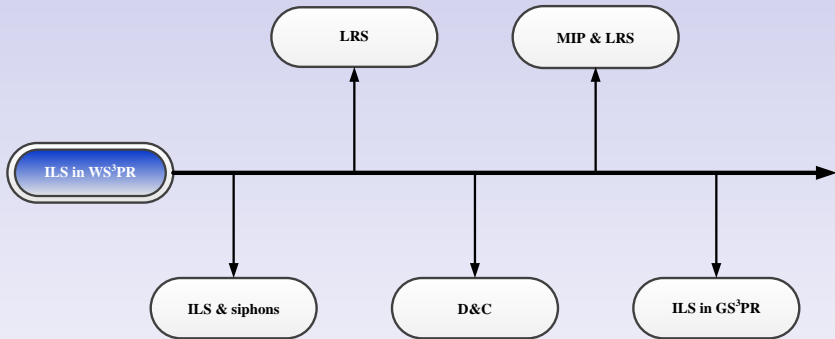


Figure: Intrinsically live structure.

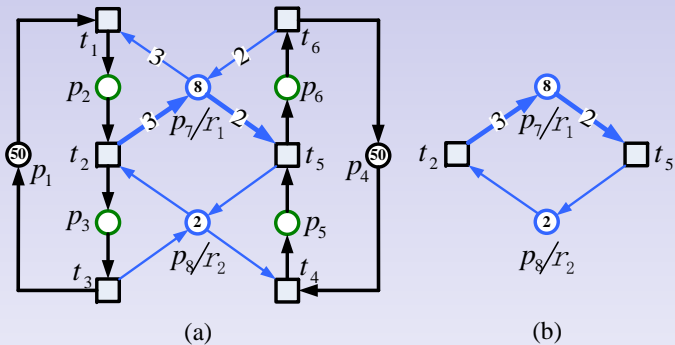


Figure: A WS<sup>3</sup>PR and its WSDC.

- A structural object carrying weight information;
- A structure intuitively reflecting circular waits;
- A numerical relationship between initial marking and arc weights.

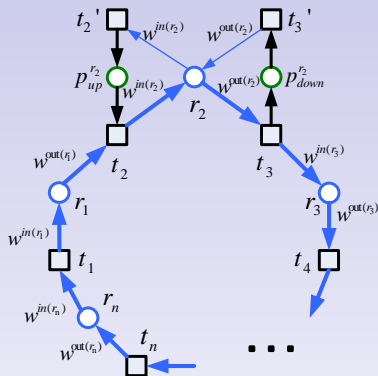


Figure: A WSDC.

- A subnet consisting of places, transitions, their arcs, and weights;
- A competition path  $t_2 r_2 t_3$ ;
- The upstream activity place  $p_{up}^{r_2}$  and downstream one  $p_{down}^{r_2}$  compete against each other.

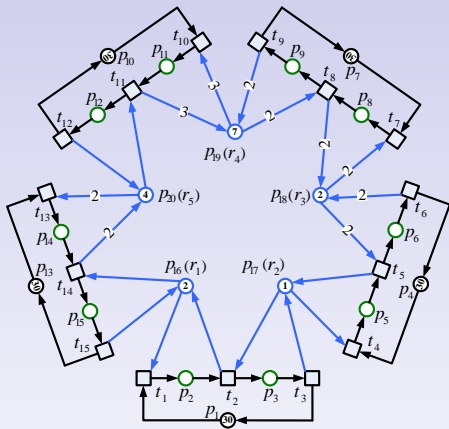


Figure: A revised dining philosopher problem modeled by WS<sup>3</sup>PR with a unique WSDC.

## Main results:

### Restriction 1

Given a resource place  $r$ , it satisfies the following two conditions:

(1)  $(M_0(r) \bmod w_i^{in(r)}) \geq w_i^{out(r)}$ , where  $M_0(r)$  is the initial marking of  $r$ , and  $w_i^{in(r)}$  (resp.  $w_i^{out(r)}$ ) is the in-arc (resp. out-arc) weight of the  $i$ th competition path in  $\mathbf{L}_W$ ; and

(2) There exists no such an  $n$ -dimensional row vector

$\mathbf{A} = [a_1 \ \dots \ a_i \ \dots \ a_n]$  such that

$0 \leq (M_0(r) - \mathbf{A}[\mathbf{W}^{in(r)}]^T) < \min\{w_i^{out(r)}\}$ , where  $a_i \in \mathbb{N}$ .

### Theorem 1

A marked WS<sup>3</sup>PR  $(N, M_0)$  is live if every WSDC satisfies Restriction 1.

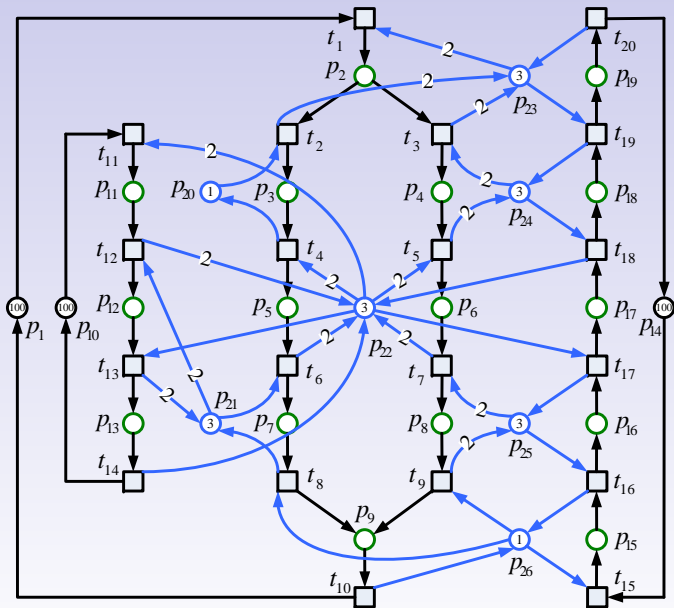


Figure: A Live  $WS^3PR$  with all WSDCs satisfying Restriction 1.

## The meaning of the work:

- A new method to prevent deadlocks;
- Need no external controller;
- Enforcing liveness by increasing or decreasing the numbers of resources.

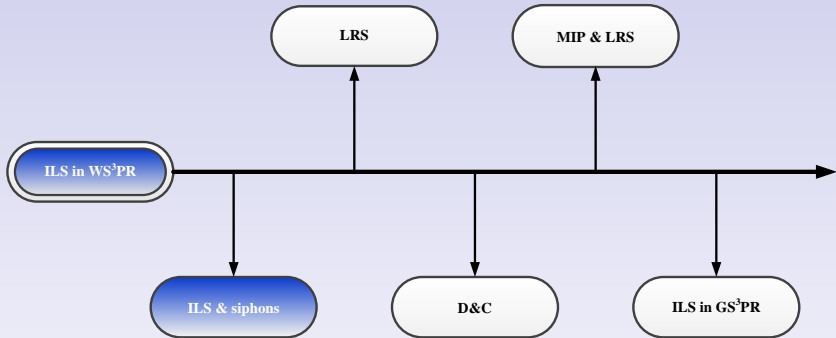


Figure: ILS and siphon.



## Main results:

### Theorem 2

A siphon  $S$  in a marked  $WS^3PR$  is never insufficiently marked if all WSDCs contained in it satisfy Restriction 1.

### Theorem 3

A siphon in a marked  $WS^3PR$  is minimally controlled if all WSDCs contained in it satisfy Restriction 1.

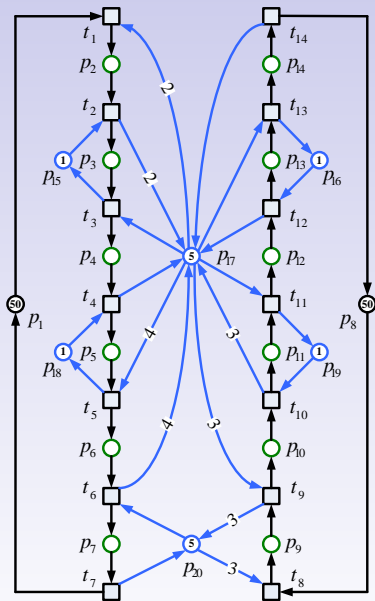


Figure: A WS<sup>3</sup>PR with 6 resource places.

A set of elementary siphons chosen from all 31 strict minimal ones need to be controlled:

$$\bar{S}_{16} = 4p_6 + 3p_9, M_0(V_{S16}) = 10 - 6 = 4;$$

$$\bar{S}_{24} = p_4 + p_5, M_0(V_{S24}) = 6 - 4 = 2;$$

$$\bar{S}_{28} = 2p_2 + p_3, M_0(V_{S28}) = 6 - 4 = 2;$$

$$\bar{S}_{29} = 3p_{10} + p_{11} + p_{12} + p_{13}, M_0(V_{S29}) = 7 - 4 = 3;$$

$$\bar{S}_{30} = 3p_{10} + p_{11}, M_0(V_{S30}) = 6 - 3 = 3; \text{ and}$$

$$\bar{S}_{31} = p_{12} + p_{13}, M_0(V_{S31}) = 6 - 4 = 2.$$

By checking all WSDCs in every elementary siphon, the elementary siphons really need to be controlled:

$$\bar{S}_{16} = 4p_6 + 3p_9, M_0(V_{S16}) = 10 - 6 = 4;$$

$$\bar{S}_{24} = p_4 + p_5, M_0(V_{S24}) = 6 - 4 = 2;$$

$$\bar{S}_{28} = 2p_2 + p_3, M_0(V_{S28}) = 6 - 4 = 2;$$

$$\bar{S}_{29} = 3p_{10} + p_{11} + p_{12} + p_{13}, M_0(V_{S29}) = 7 - 4 = 3;$$

$$\bar{S}_{30} = 3p_{10} + p_{11}, M_0(V_{S30}) = 6 - 3 = 3; \text{ and}$$

$$\bar{S}_{31} = p_{12} + p_{13}, M_0(V_{S31}) = 6 - 4 = 2.$$

## The meaning of the work:

- Enlarge the application scope of ILS-based method;
- Improve the siphon-based methods;
- Obtain more reachable states with less control costs.

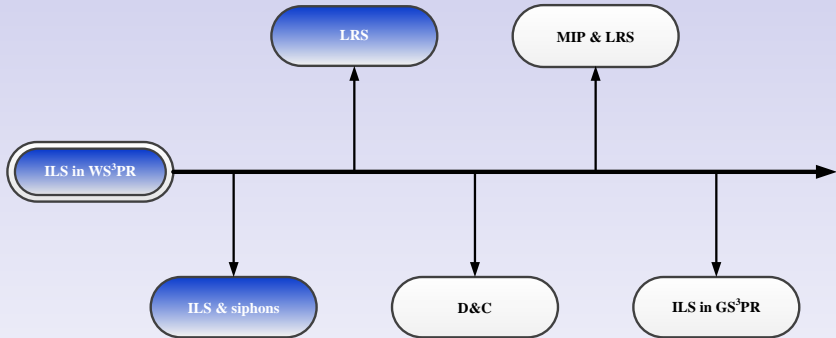


Figure: Liveness and ratio-enforcing supervisor.

## Basic ideas:

- Impose a well-designed supervisor with intrinsically live structures to break the chain of circular waits;
- Consider the resource usage ratios of upstream and downstream activity places and the relation between them.

$$\lambda^{r \rightarrow p_{up}} = \frac{M(p_{up}) \cdot w^{in(r)}}{M_0(r)}$$

$$\lambda^{r \rightarrow p_{down}} = \frac{M(p_{down}) \cdot w^{out(r)}}{M_0(r)}$$

$$\lambda_i^{r \rightarrow p} = \frac{(\lfloor \frac{M_0(r)}{W(r, t)} \rfloor - i) \cdot W(r, t)}{M_0(r)}, \quad i \in \{0, 1, 2, \dots, \lfloor \frac{M_0(r)}{W(r, t)} \rfloor\}$$

## Restriction 2

Given  $WS^3PR (N, M_0)$ , a resource place  $r$  satisfies the following two conditions:

(1)  $1 - \max \lambda^{r \rightarrow p_{upi}} \geq \min \lambda^{r \rightarrow p_{downi}}$ ; and

(2)  $1 - \sum_{i=1}^n \lambda^{r \rightarrow p_{upi}} \geq \min \{ \min \lambda^{r \rightarrow p_{downi}} \}$ , if  $1 - \sum_{i=1}^n \lambda^{r \rightarrow p_{upi}} > 0$ ;

where  $p_{upi}$  and  $p_{downi}$  are upstream and downstream activity places with respect to every competition path containing  $r$ ,

$\lambda^{r \rightarrow p_{upi}} \in [\max \lambda^{r \rightarrow p_{upi}}, 0]^{\lambda^{r \rightarrow p_{upi}}}$ ,

$\lambda^{r \rightarrow p_{downi}} \in [\max \lambda^{r \rightarrow p_{downi}}, 0]^{\lambda^{r \rightarrow p_{downi}}}$ , and  $n$  is the number of columns

of weight matrix representing  $n$  competition paths with the same resource place  $r$ .



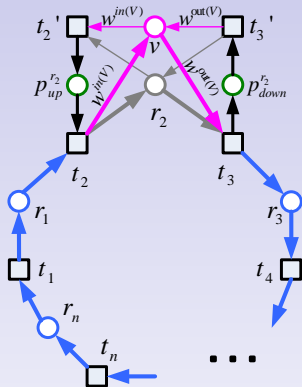


Figure: A WSDC with an LRS monitor.

- Design a control path satisfying Restriction 2;
- Impose the control path to a competition one;
- Manipulate the resource allocation.

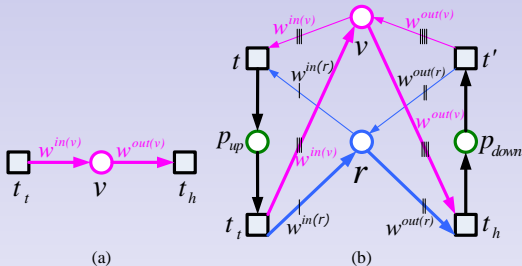


Figure: A control path and an LRS monitor.

$$\left\{ \begin{array}{l} \min M_0(v) + w^{in(v)} \\ s. t. \\ M_0(v) - w^{in(v)} \cdot \left[ \frac{M_0(r) \cdot (1 - \min \lambda^{r \rightarrow p_{down}})}{w^{in(r)}} \right] \geq w^{out(v)} \\ M_0(v) \geq 1 \\ w^{in(v)} \geq w^{out(v)} + 1 \\ w^{out(v)} \geq 1 \end{array} \right.$$

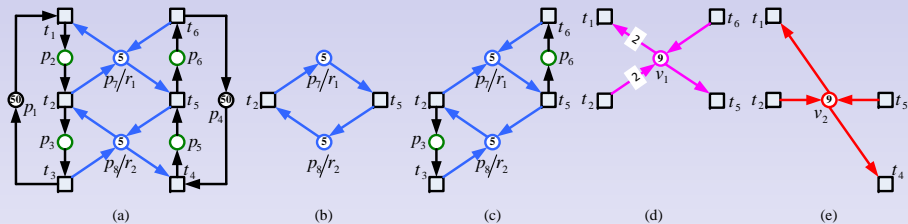


Figure: LRS and siphon-monitor.

- The basic ideas are different;
- The structural objects are different;
- The size of supervisors are different;
- Resource usage ratio and parameters.

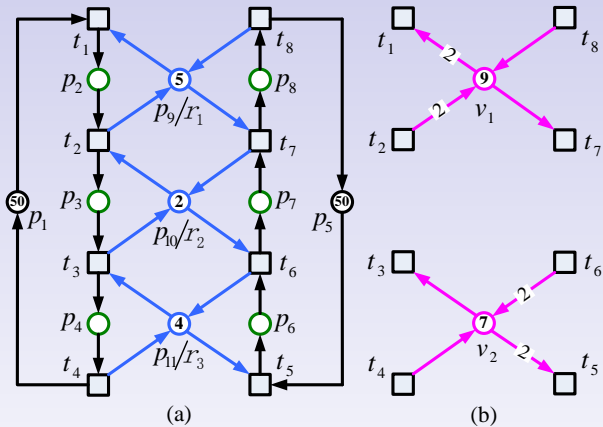


Figure: An S<sup>3</sup>PR net model and its LRS monitors.

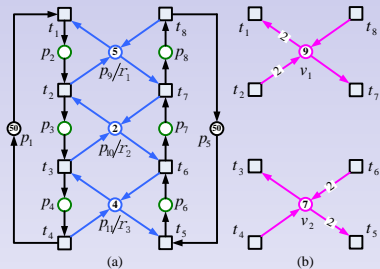


Table: A case study of the  $S^3PR$  net with LRS monitors

case (i)	$M_0(P_R)$	$M_0(V)$	$w^{in}(v)$	$w^{out}(v)$	states	percentage
0	$5r_1 + 2r_2 + 4r_3$	/	/	/	1853	/
1	$5r_1 + 2r_2 + 4r_3$	$9v_1 + 7v_2$	$w^{in}(v_1) = 2$ $w^{in}(v_2) = 2$	$w^{out}(v_1) = 1$ $w^{out}(v_2) = 1$	1645	100%
2	$4r_1 + 2r_2 + 4r_3$	$7v_1 + 7v_2$	$w^{in}(v_1) = 2$ $w^{in}(v_2) = 2$	$w^{out}(v_1) = 1$ $w^{out}(v_2) = 1$	1147	69.73%
3	$3r_1 + 2r_2 + 4r_3$	$5v_1 + 7v_2$	$w^{in}(v_1) = 2$ $w^{in}(v_2) = 2$	$w^{out}(v_1) = 1$ $w^{out}(v_2) = 1$	732	44.50%
4	$2r_1 + 2r_2 + 4r_3$	$3v_1 + 7v_2$	$w^{in}(v_1) = 2$ $w^{in}(v_2) = 2$	$w^{out}(v_1) = 1$ $w^{out}(v_2) = 1$	400	24.32%
5	$5r_1 + 2r_2 + 4r_3$	$9v_1 + 8v_2$	$w^{in}(v_1) = 2$ $w^{in}(v_2) = 3$	$w^{out}(v_1) = 1$ $w^{out}(v_2) = 1$	1407	85.53%

## The meaning of the work:

- The size of an LRS is bounded by the number of resource places;
- No new problematic structures generated;
- Parameterized controller;
- Intuitive and easy to understand.

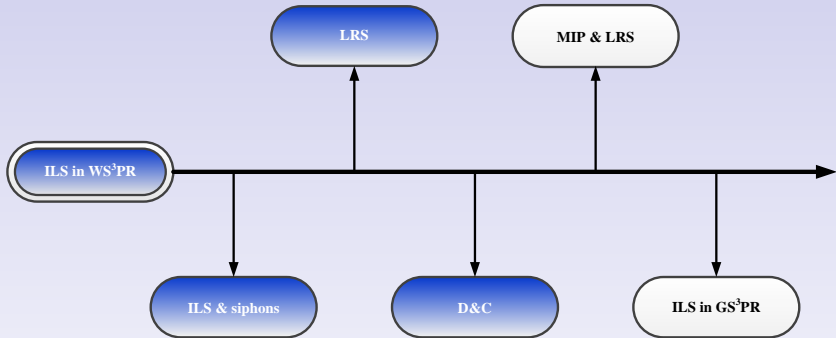


Figure: Divide-and-Conquer.

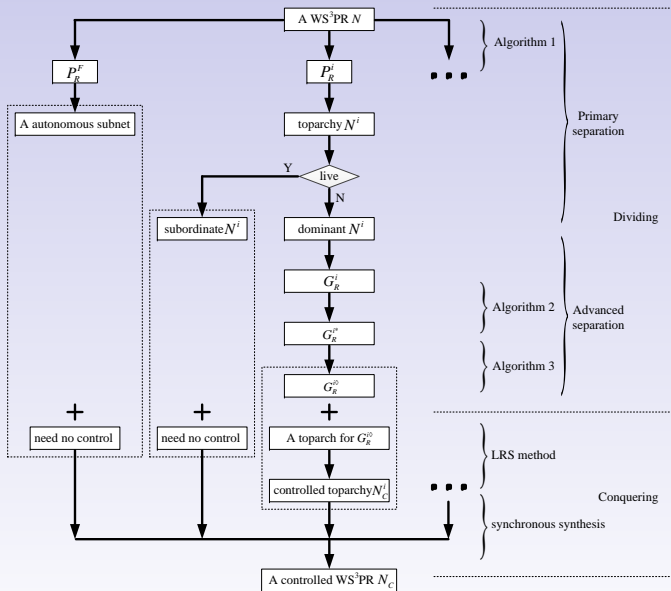


Figure: A schematic diagram of D&C.



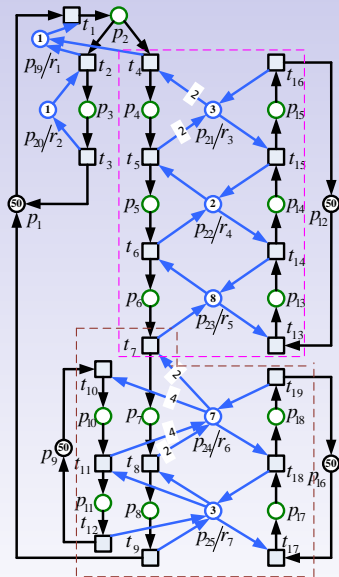
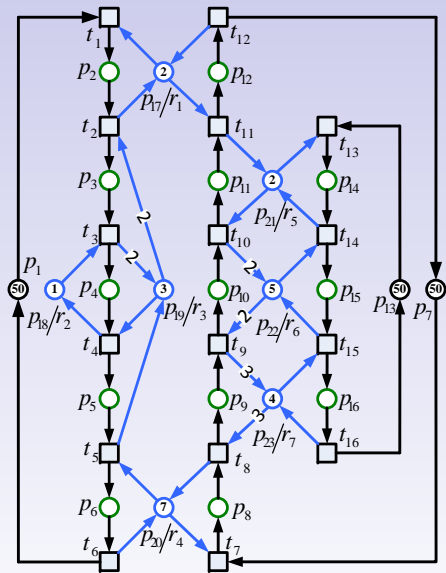
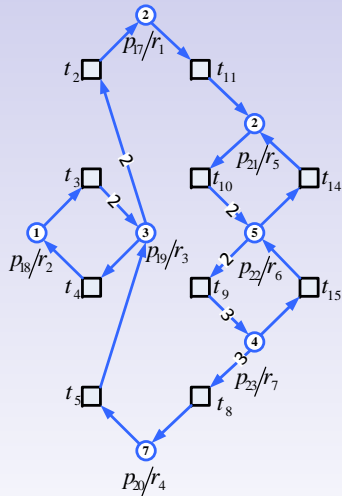


Figure: Control subnets.

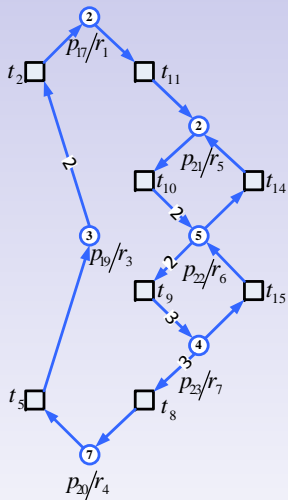


(a)

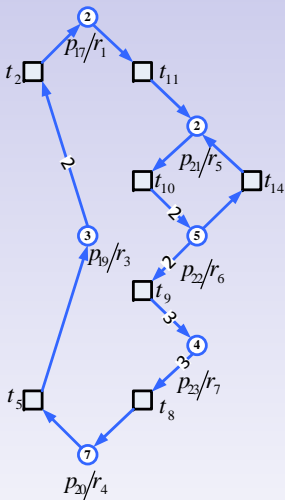


(b)

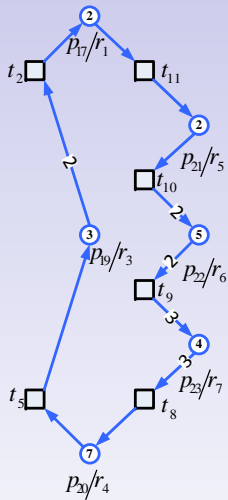
Figure: A unique subnet.



(a)



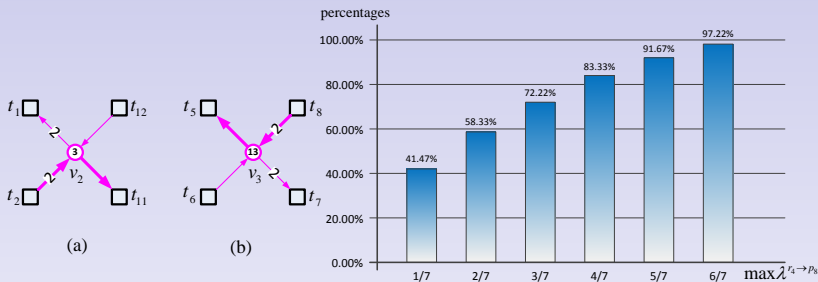
(b)



(c)

Figure: Decomposition of a subnet.





**Table:** Different control effects of the LRS monitor  $v_3$  with different control parameters.

admissible range	$\lambda^{r_4 \rightarrow p_8}$	$M_0(v_3)$	$w^{in}(v_3)$	$w^{out}(v_3)$	all reachable states	percentage	live
$[1, 0]^{r_4 \rightarrow p_8}$	1	/	/	/	933, 112	100%	No
$[6/7, 0]^{r_4 \rightarrow p_8}$	6/7	13	2	1	907, 192	97.22%	Yes
$[5/7, 0]^{r_4 \rightarrow p_8}$	5/7	17	3	1	855, 352	91.67%	Yes
$[4/7, 0]^{r_4 \rightarrow p_8}$	4/7	19	4	1	777, 592	83.33%	Yes
$[3/7, 0]^{r_4 \rightarrow p_8}$	3/7	19	5	1	673, 912	72.22%	Yes
$[2/7, 0]^{r_4 \rightarrow p_8}$	2/7	17	6	1	544, 312	58.33%	Yes
$[1/7, 0]^{r_4 \rightarrow p_8}$	1/7	13	7	1	388, 792	41.47%	Yes

## The meaning of the work:

- Analyze and control large-size WS<sup>3</sup>PR net models;
- Precisely locate and control the genuine structures contributing to non-liveness;
- Reduce control cost with simple and parameterized controllers.

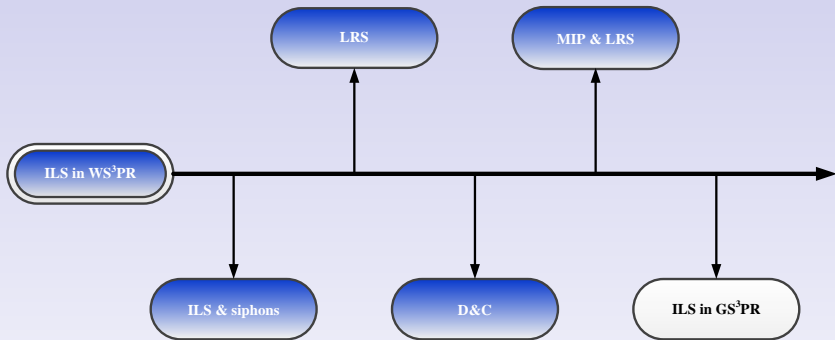


Figure: MIP and LRS.

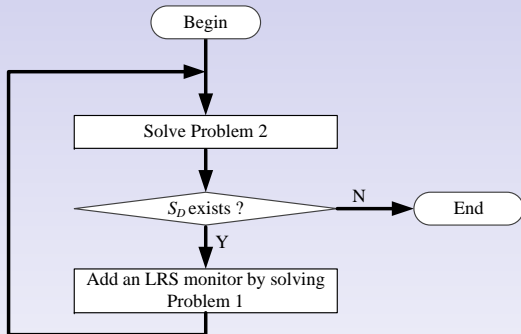


Figure: A schematic diagram of the iterative method.



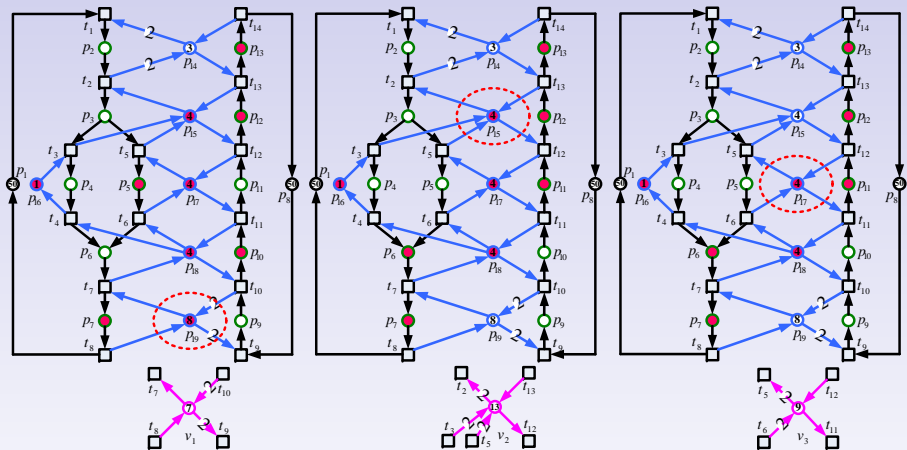


Figure: An iterative control of a WS<sup>3</sup>PR net model.

Table: Details of the iterative control of the WS<sup>3</sup>PR net model.

MIM siphon obtained by MIP	$r_j$	$v_j$	reachable states	dead states	live transitions
/	/	/	3, 334, 653	30	0
$\{P_5, P_7, P_{10}, P_{12}, P_{13}, P_{15}, P_{16}, P_{17}, P_{18}, P_{19}\}$	$P_{19}$	$v_1$	2, 663, 888	6	0
$\{P_6, P_7, P_{11}, P_{12}, P_{13}, P_{15}, P_{16}, P_{17}, P_{18}\}$	$P_{15}$	$v_2$	2, 613, 824	1	0
$\{P_6, P_7, P_{11}, P_{12}, P_{13}, P_{16}, P_{17}, P_{18}\}$	$P_{17}$	$v_3$	2, 500, 037	0	14

## The meaning of the work:

- Avoid the enumeration of all WSDCs;
- Improve the computational efficiency;
- The number of iterations is bounded by that of resource places.

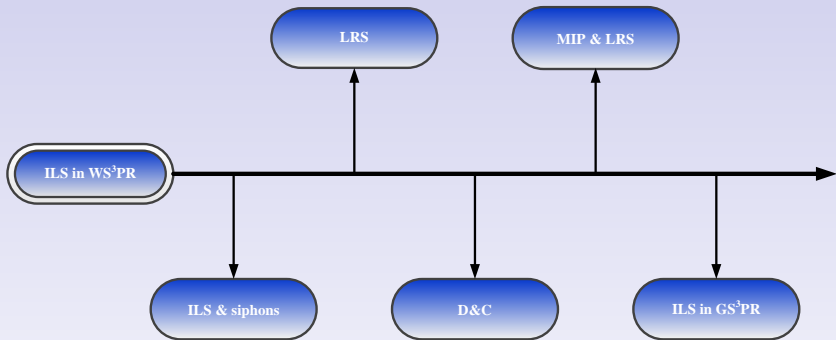
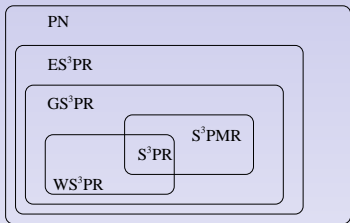
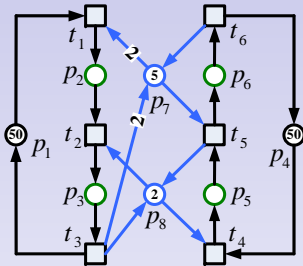


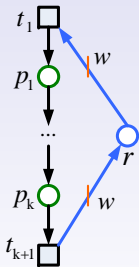
Figure: ILS in  $GS^3PR$ .



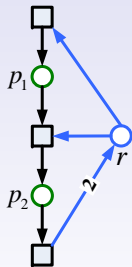
(a)



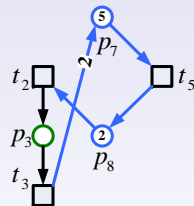
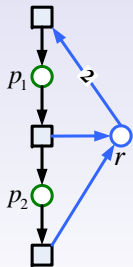
(d)



(b)



(c)



(e)

Figure: GS³PR and ILS.

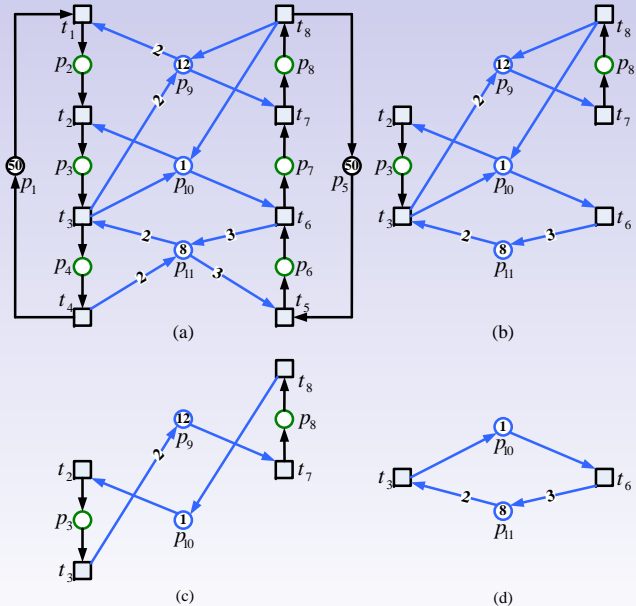


Figure:  $GS^3PR$  and extended WSDCs.

**Table:** A Comparison between the control effects of the elementary siphons-based method (ES) and the intrinsically live structures-based one (ILS) in this work for the  $GS^3PR$  net model.

Description	$M_0(P_R)$	$M_0(V)$	Arcs and weights of monitors	$ R(N, M_0) $	Live
Original net: without control	$12p_9 + p_{10} + 8p_{11}$	/	/	234	No
ES by connecting output arcs of monitors to source transitions	$12p_9 + p_{10} + 8p_{11}$	$11v_1 + 5v_2$	$W(v_1, t_1) = W(t_2, v_1) = 2,$ $W(v_1, t_5) = W(t_7, v_1) = 1,$ $W(v_2, t_1) = W(t_3, v_2) = 1,$ $W(v_2, t_5) = W(t_6, v_2) = 3$	124	Yes
ES with a rearrangement of output arcs of monitors	$12p_9 + p_{10} + 8p_{11}$	$11v_1 + 5v_2$	$W(v_1, t_1) = W(t_2, v_1) = 2,$ $W(v_1, t_6) = W(t_7, v_1) = 1,$ $W(v_2, t_2) = W(t_3, v_2) = 1,$ $W(v_2, t_5) = W(t_6, v_2) = 3$	168	Yes
ILS by removing 1 token from $p_9$	$11p_9 + p_{10} + 8p_{11}$	/	/	206	Yes
ILS by adding 1 token in $p_9$	$13p_9 + p_{10} + 8p_{11}$	/	/	242	Yes

## The meaning of the work:

- Extend the ILS-based method to a more general subclass of Petri nets;
- Extend the concepts of WSDCs and competition paths;
- Provide a deeper insight into structures of more general Petri nets.



# Concluding Remarks

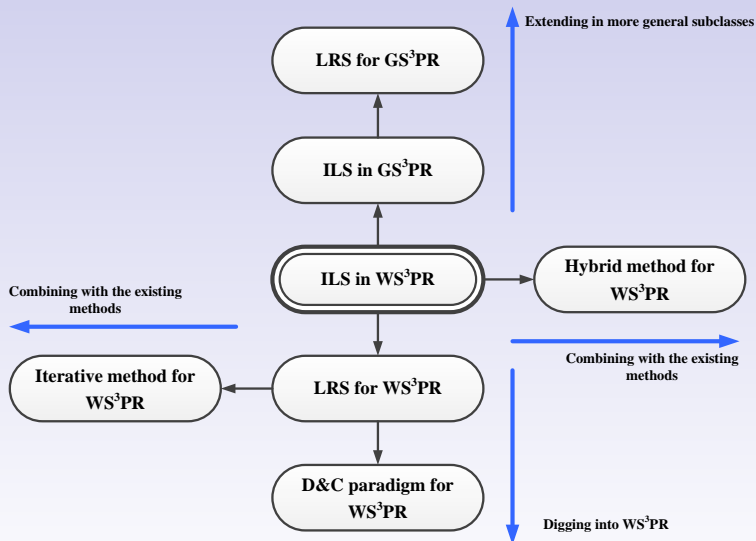


Figure: Main work.

## Conclusion:

- Numerical relationship in generalized Petri nets;
- Intrinsically live structure – ILS;
- Liveness and ratio-enforcing supervisor – LRS.

## Related publications:

- [1] **Ding Liu**, ZhiWu Li, and MengChu Zhou, “Liveness of an extended  $S^3PR$ ,” *Automatica*, vol. 46, no. 6, pp. 1008–1018, 2010.
- [2] **Ding Liu**, ZhiWu Li, and MengChu Zhou, “Erratum to “Liveness of an extended  $S^3PR$  [*Automatica* 46 (2010) 1008–1018]”,” *Automatica*, vol. 48, no. 5, pp. 1003–1004, 2012.
- [3] **Ding Liu**, ZhiWu Li, and MengChu Zhou, “Hybrid liveness-enforcing policy for generalized Petri net models of flexible manufacturing systems,” *IEEE Transactions on Systems, Man, and Cybernetics: Systems*, vol. 43, no. 1, pp. 85–97, 2013.
- [4] **Ding Liu**, Kamel Barkaoui, and MengChu Zhou, “On intrinsically live structure of a class of generalized Petri nets modeling FMS,” in *Proceedings of the 11th International Workshop on Discrete Event Systems*, pp. 187–192, Guadalajara, Mexico, Oct. 3-5, 2012.
- [5] **Ding Liu**, YiFan Hou, Kamel Barkaoui, and MengChu Zhou, “Liveness and resource usage ratio-enforcing supervisor in a class of generalized Petri nets,” submitted to the 10th International Conference on Control and Automation, Hangzhou, China, Jun. 12-14 2013.

## Future work:

- Characteristics of ILS in state space;
- Optimal design of LRS;
- ILS in ROPN.

## Work in progress:

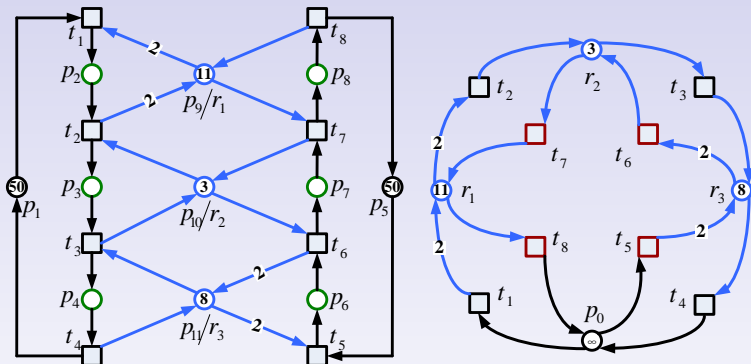


Figure: A process-oriented Petri net model and a resource-oriented one.



# Thanks for your attention!

Questions?

[ding.liu@cnam.fr](mailto:ding.liu@cnam.fr)